

**Figure 1**

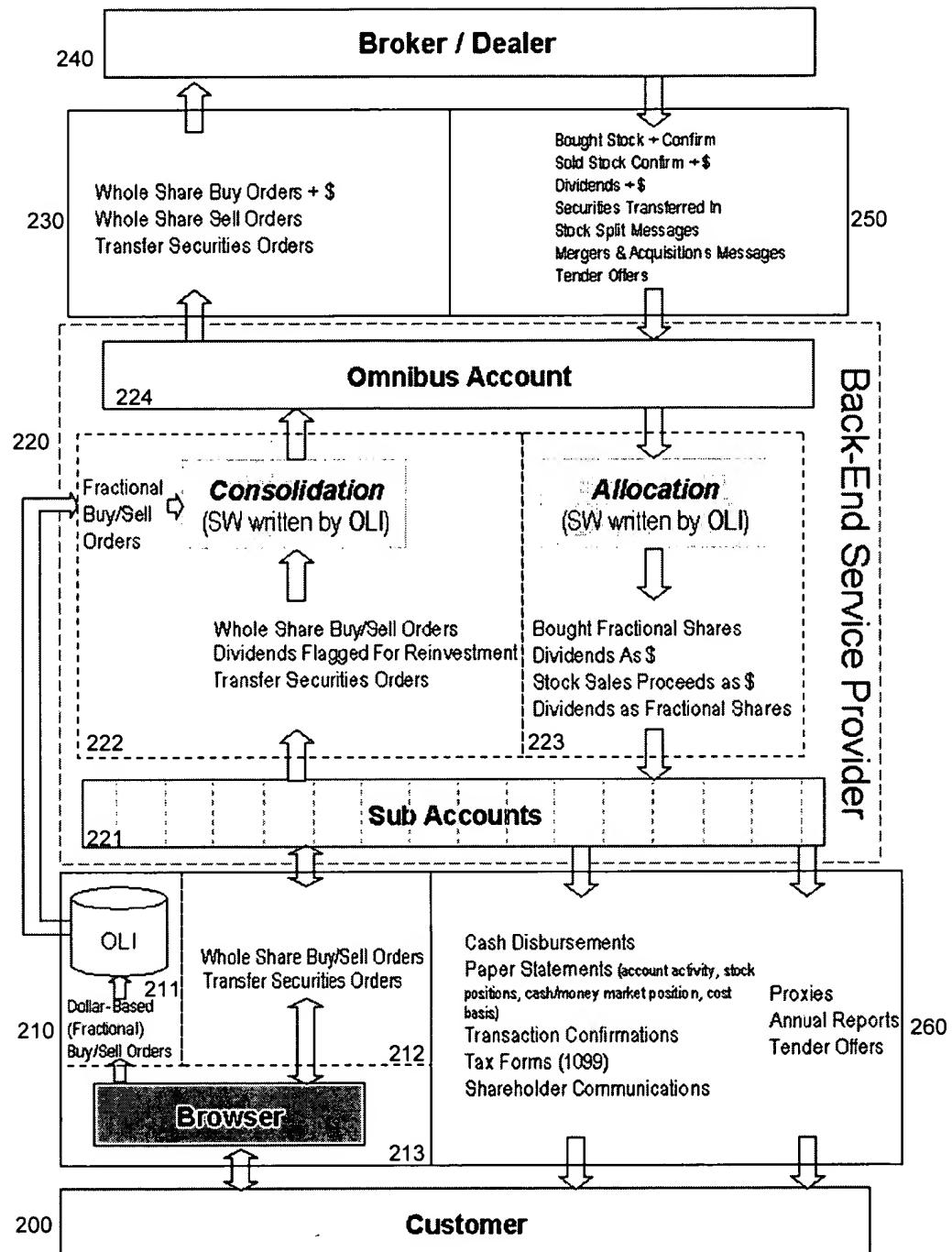


Figure 2

The screenshot shows the My Stock Fund website with a banner featuring three children. The banner text reads: "My Stock Fund™ HAVE YOU STARTED THEIR EDUCATION FUND YET?". To the right is the "Credit Union ONE" logo. Below the banner is a navigation bar with links: HOME, LEARN ABOUT MY STOCK FUND, TAKE A TOUR, HOW TO INVESTING, FAQ, and OPEN YOUR ACCOUNT.

**Customer Sign-In**  
Stock List  
Glossary  
Site Map  
Contact Us

**OPEN YOUR ACCOUNT**

Congratulations! You've taken an important first step in securing your financial future.

To open a MyStockFund brokerage account, you'll need to complete, sign and send us two forms: the New Account Form, including the ACH agreement, and a Substitute W-9. We'll walk you through step-by-step. The entire process should take less than ten minutes.

No printer? That's OK. Just complete the forms as instructed. At the end of each form, click the "Print for Me" button. A MyStockFund representative will print the forms and mail them back to you for your signature.

**Before you get started...**  
Take a minute to print this checklist. You'll have a guide to the forms you need to complete and a list of information you may want to have before you begin.

**NEW ACCOUNT APPLICATION** Step 1

You need to provide an entry in each required field or we will not be able to establish your brokerage account.

**Type of Account**  
Select the type of account you want to open.

Individual  
 Joint  
 Custodial

**Next >** **Exit**

**Links at the bottom:**  
Home | Learn About My Stock Fund | Take a Tour |  
How to Investing? | FAQs | Open an Account | Privacy Policy |  
Terms of Use | Disclaimer

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Member NASD/SIPC

**RETURN TO**  
**Credit Union**  
**ONE**

Figure 3(a)

My Stock Fund™ **Credit Union ONE**

Have You Started Their EDUCATION FUND YET?

Customer Sign-In  
Stock List  
Glossary  
Site Map  
Contact Us

LEARN ABOUT MY STOCK FUND TAKE A TOUR NEW TO INVESTING? F.A.Q. OPEN AN ACCOUNT Privacy Policy Terms of Use Disclaimer

New ACCOUNT APPLICATION Step 3 of 8

**CONTACT INFORMATION**

Tell us how to reach you and where to send account information.

E-mail:

Residence

Phone:

Fax:

Street:

Apartment/Suite:

City:

State: Alabama

Zip:  (XXXXXX-XXXX)

**Mailing Address**

Check here if your mailing address is different from your residence, and please enter it here.

Street:

Apartment/Suite:

City:

State: Alabama

Zip:  (XXXXXX-XXXX)

**Employment**

If you are self-employed, unemployed or retired, please indicate this in the "Employer" line and use your home address to complete the other fields.

Occupation: Accountants and Auditors

Employer:

Street:

Apartment/Suite:

City:

State: Alabama

Zip:  (XXXXXX-XXXX)

<Previous  Exit

Home Learn About My Stock Fund Take a Tour  
How to Invest? F.A.Q. Open an Account Privacy Policy  
Terms of Use Disclaimer

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mystockfund.com

Figure 3(b)

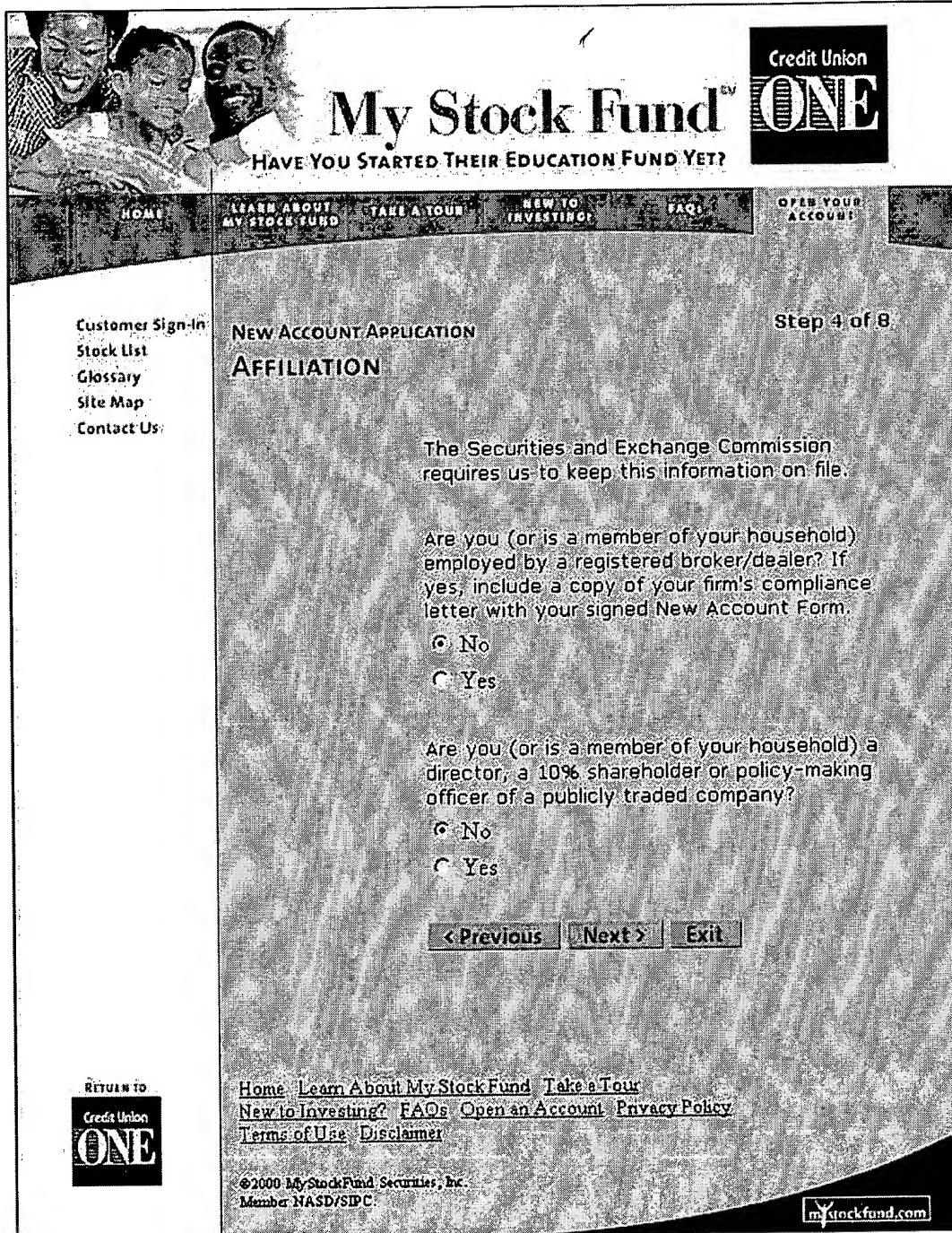


Figure 3(c)

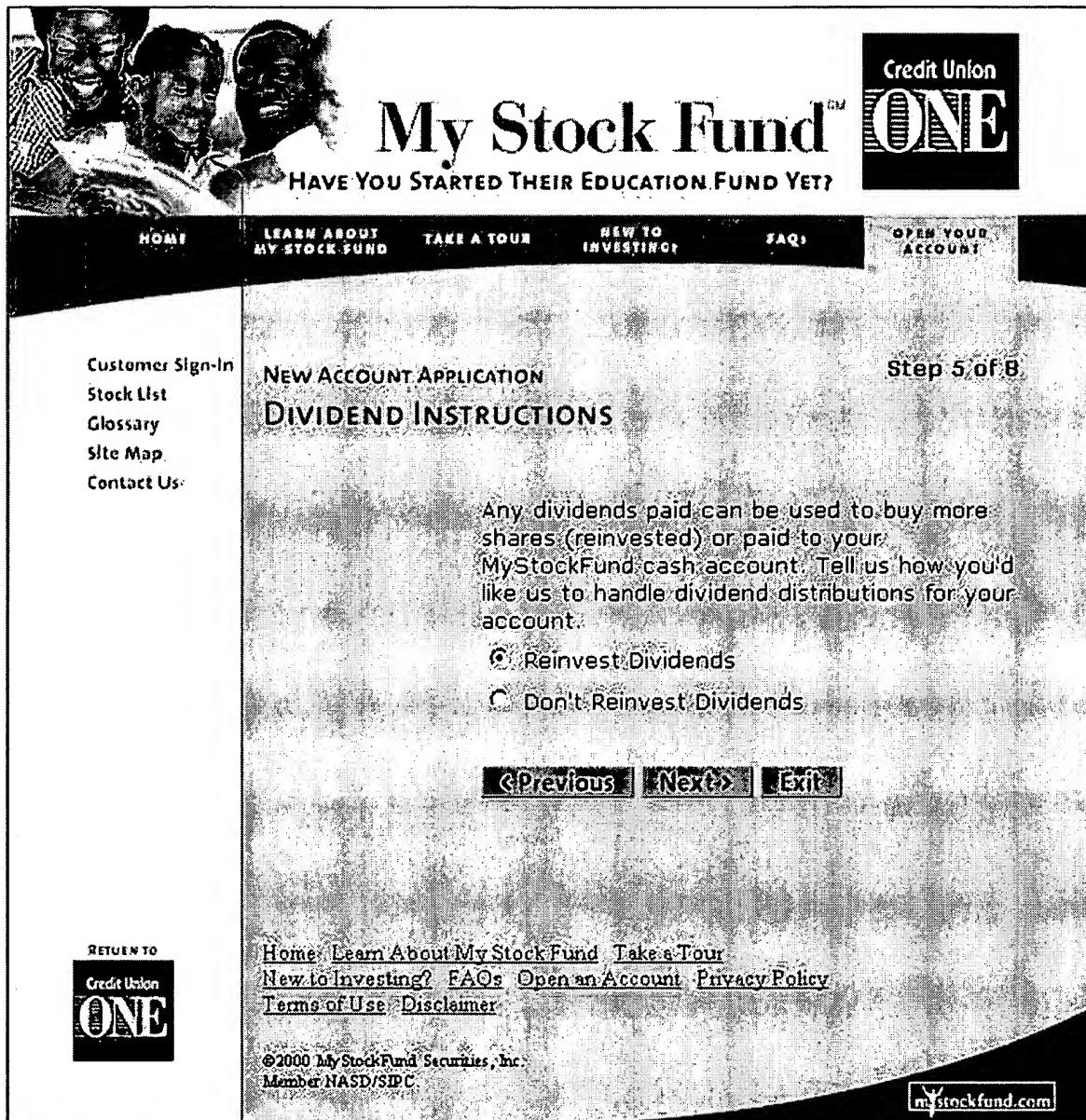


Figure 3(d)

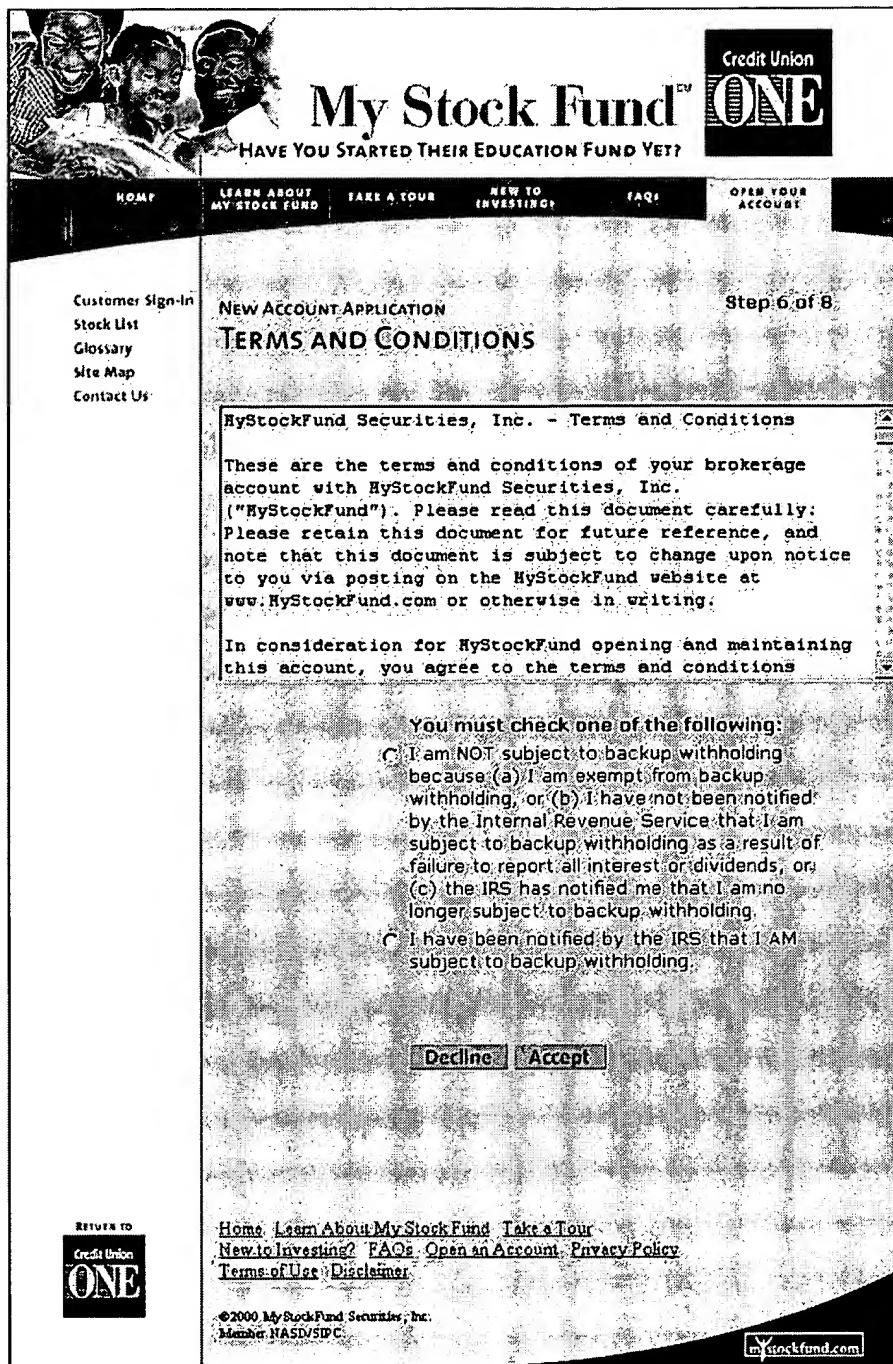


Figure 3(e)

The image is a screenshot of a website for 'My Stock Fund ONE'. At the top, there is a banner with a photo of three people and the text 'HAVE YOU STARTED THEIR EDUCATION FUND YET?'. The 'Credit Union ONE' logo is in the top right. Below the banner, a navigation bar includes links for 'HOME', 'LEARN ABOUT MY STOCK FUND', 'TAKE A TOUR', 'NEW TO INVESTING', 'FAQ', and 'OPEN YOUR ACCOUNT!'. On the left, a sidebar has links for 'Customer Sign-in', 'Stock List', 'Glossary', 'Site Map', and 'Contact Us'. The main content area is titled 'ACH AGREEMENT' and is labeled 'Step 7 of 8'. It contains a text box stating: 'This form authorizes us to move money from your bank, credit union or savings and loan account to your MyStockFund cash account.' Below this, there is a form for 'Registration of the bank, savings and loan or credit union account'. The form fields are: 'Name of Institution' (text box), 'Name of Branch (if applicable)' (text box), 'Street' (text box), 'Suite' (text box), 'City' (text box), 'State' (dropdown menu showing 'Alabama'), 'Zip' (text box), 'ABA or Routing Number' (text box), 'Number' (text box with note 'exactly 9 digits'), 'Bank Account Number' (text box with note '1 to 17 digits (hyphens optional)'), and 'Account Type' (radio buttons for 'Checking', 'Savings', and 'Other'). At the bottom of the form are buttons for '< Previous', 'Next >', and 'Exit'. The footer contains links for 'Home', 'Learn About My Stock Fund', 'Take a Tour', 'New to Investing?', 'FAQs', 'Open an Account', 'Privacy Policy', 'Terms of Use', and 'Disclaimer'. It also includes the text '©2000 My StockFund Securities, Inc.' and 'Member NASD/SIPC'. The 'Credit Union ONE' logo is in the bottom right.

Figure 3(f)

The screenshot shows the My Stock Fund website with a banner featuring two children. The main navigation menu includes HOME, MANAGE MY ACCOUNT, STOCK LIST & RESEARCH, EDUCATION, MYFUND BUILDER, and CUSTOMER CARE. A sub-menu on the left provides links to Account Holdings, Reports, Forms, FAQs, Glossary, Site Map, Contact Us, and Log Off. The central content area is titled "MyFundBuilder Update". It instructs users to enter a stock symbol and amount to invest, and shows how to change or delete investments. A table lists five stocks with their names, symbols, amounts invested, and checkboxes for deletion. The total investment amount is \$100.

| Symbol | Name                   | Amount | Delete                   |
|--------|------------------------|--------|--------------------------|
| YHOO   | Yahoo! Inc.            | \$20   | <input type="checkbox"/> |
| AMD    | Advanced Micro Devices | \$20   | <input type="checkbox"/> |
| MSFT   | Microsoft Corp.        | \$20   | <input type="checkbox"/> |
| CCE    | Coca-Cola Enterprises  | \$20   | <input type="checkbox"/> |
| U      | USAirways Group Inc.   | \$20   | <input type="checkbox"/> |

Total: \$100

Update Cancel Changes

Navigation links at the bottom include: RETURN TO, Credit Union ONE, Home, Manage My Account, Stock List & Research, Education, MyFundBuilder, Customer Care, Privacy Policy, Terms of Use, and Disclaimer. A copyright notice states: ©2000 My Stock Fund Securities, Inc. Member NASD/SIPC. The website address mystockfund.com is also present.

Figure 4

Use this form to change the checking or savings account you use to pay for your MyStockFund purchases.

Once you enter in your ACH information, click the "Submit" button. We will display a form for you to print, sign and mail to us. It will take 3-5 days to process your request.

**Registration of the bank, savings and loan or credit union account:**

Name of Institution:

Name of Branch (if applicable):

Address:

Suite:

City:

State:

Zip:  -

ABA or Routing Number:

Bank Account Number:

Account Type:  Checking  Savings  Other

**Figure 5**

## ACCOUNT HOLDINGS

Below is a snapshot of your holdings as of close of market on the last business day.

Account Number: 46465644

| Symbol CUSIP     | Stock Name               | # of Shares Owned | Price/Share  |                 | Cost Basis     | Unrealized Gain/Loss |
|------------------|--------------------------|-------------------|--------------|-----------------|----------------|----------------------|
|                  |                          |                   | Previous Day | Close Valuation |                |                      |
| CSCO 17275R-10-2 | CISCO SYSTEMS INC        | .2154             | 51.2500      | \$11.03         | \$11.00        | \$0.03               |
| KO 191216-10-0   | COCA COLA COMPANY        | .1755             | 59.5625      | \$10.45         | \$11.00        | (\$0.55)             |
| INTC 458140-10-0 | INTEL CORP               | .2948             | 43.9375      | \$12.95         | \$11.00        | \$1.95               |
| JDSU 46612J-10-1 | JDS UNIPHASE CORP        | .1559             | 62.0625      | \$9.67          | \$11.00        | (\$1.33)             |
| PFE 717081-10-3  | PFIZER INCORPORATED      | .2685             | 44.8750      | \$12.05         | \$12.00        | \$0.05               |
| TROW 741477-10-3 | PRICE T ROWE & ASSOC INC | .2626             | 37.5625      | \$9.86          | \$12.00        | (\$2.14)             |
| SGP 806605-10-1  | SCHERING PLUGH CORP      | .2246             | 54.3750      | \$12.20         | \$12.00        | \$0.20               |
|                  |                          | <b>Totals</b>     |              | <b>\$78.21</b>  | <b>\$80.00</b> | <b>(\$1.79)</b>      |

[Home](#) [Manage My Account](#) [Stock List & Research](#)

**Figure 6**